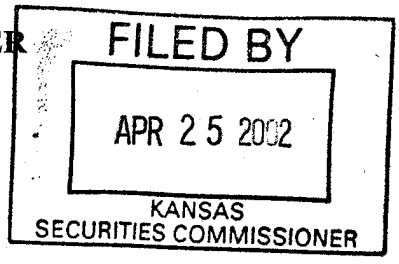
BEFORE THE SECURITIES COMMISSIONER
OF THE STATE OF KANSAS



In re:

REGISTRATION OF INVESTMENT ADVISER REPRESENTATIVES VIA THE INVESTMENT ADVISER REGISTRATION DEPOSITORY

Docket No. 2002E047

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SPECIAL ORDER

WHEREAS, the Kansas Securities Commissioner ("Commissioner") is charged with the administration of the Kansas Securities Act ("the act"), K.S.A. 17-1252 et seq., and regulations adopted thereunder; and

WHEREAS, Kan. Adm. Reg. 81-14-6(e)(2) provides that "On or before October 1, 2001, or such later date as set by the Commissioner by order, each investment adviser shall submit form U-4 electronically with the [Investment Adviser Registration Depository] for each investment adviser representative under the investment adviser's supervision who is registered or required to be registered under the act, unless the investment adviser has been granted a hardship exemption…;" and

WHEREAS, the Commissioner has determined that it is consistent with the public interest that investment adviser representatives begin to register electronically through the IARD system on or before June 30, 2002;

IT IS, THEREFORE, ORDERED BY THE COMMISSIONER THAT, on or before June 30, 2002, each investment adviser shall submit form U-4 electronically with the IARD for each investment adviser representative under the investment adviser's supervision who is registered or required to be registered under the act, unless the investment adviser has been granted a hardship exemption under Kan. Adm. Reg. 81-14-6(d).

BY THE COMMISSIONER IT IS SO ORDERED.

Entered at Topeka, Kansas, this 25 day of April, 2002.

David R. Brant

DAVID R. BRANT
Securities Commissioner