

Howard F. Bascom d/b/a Bascom Capital and Howard F. Bascom as an individual

Modified Order

Date of Order: December 20, 2006

Respondents: Howard F. Bascom d/b/a Bascom Capital and Howard F. Bascom as an individual

ORDER: The Summary Order entered by the Commissioner on December 12, 2006 was modified to permit the Respondents to give investment advice to current clients while the proceeding was pending, provided that the Respondents did not receive compensation for the advice or exercise control or custody of client funds or securities.

See Also

[Summary Order of Suspension and Notice of Intent to Impose Additional Sanctions](#)

[Consent Order](#)

End of Record.

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