

Abul Kasem Mohammad Mahbubullah, a/k/a A.K.M. Mahbubullah

Notice of Intent to Invoke Administrative Sanctions

Date of Order: 10-4-06

Respondent: Abul Kasem Mohammad Mahbubullah, a/k/a A.K.M. Mahbubullah

Allegations: Respondent formed an investment club, including one Kansas resident, and contracted to receive fees for providing investment advice to the members. Respondent misrepresented the profitability of the account, omitted to provide information regarding the risk factors associated with his trading strategy and failed to return investor money in accordance with the partnership agreement. Respondents actions constituted acts, practices or a course of business that operated as a fraud upon the Kansas resident.

Notice to Investors: If you are not a resident of Kansas and have been affected by actions that are similar to those described in this synopsis, you should consider contacting your state securities regulator for assistance. To locate your securities regulator, go to the [North American Securities Administrators Association](#) website. If you choose to contact your state securities regulator, please inform him or her of the action taken by the State of Kansas.